10 2003 Co. TRANSPORT THE Paperwork Reduction Act of 1995, no person	. U.S. Pate us are required to respond to a collect	PTO/SB/21 (01-03) Approved for use through 04/30/2003. OMB 0651-0031 nt and Trademark Office; U.S. DEPARTMENT OF COMMERCE top of information unless it displays a valid OMB control number.	
TRANSMITTAL FORM  (to be used for all correspondence after initial filing)	Application Number Filing Date First Named Inventor Art Unit Examiner Name	09/900,715  July 6, 2001  KEITH D. ALLEN  1632  Joseph T. Woitach	
Total Number of Pages in This Submission	Attorney Docket Number  LOSURES (Check all tha	R-775	
Fee Attached  Amendment/Reply  After Final  Affidavits/declaration(s)  Extension of Time Request  Express Abandonment Request	Drawing(s)  Licensing-related Papers  Petition  Petition to Convert to a  Provisional Application  Power of Attorney, Revocation  Change of Correspondence Addr  Terminal Disclaimer  Request for Refund  CD, Number of CD(s)	After Allowance Communication to Group  Appeal Communication to Board of Appeals and Interferences Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)  Proprietary Information  Status Letter Other Enclosure(s) (please Identify below):  FEB 1 4 2003  TECH CENTER 1600/2900	
SIGNATURE O Firm Aaron T. Hokamura	F APPLICANT, ATTORNI	EY, OR AGENT	
or Individual Signature  Date  February 3, 2003	ATE OF TRANSMISSION smitted to the USPTO or deposited wi Patents, Washington, DC 20231 on th	th the United States Postal Service with sufficient	
Signature Im Muss		Date February 3, 2003	

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

FEB 1 0 2003

# 9 00 2/24/2

## THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Keith D. ALLEN

Group Art Unit: 1632

Serial No.: 09/900,715

Examiner: Woitach, Joseph T.

Filed: July 6, 2001

Attorney Docket No.: R-775

For:

TRANSGENIC MICE CONTAINING PROTEIN PHOSPHATASE 2C GENE

**DISRUPTIONS** 

### RESPONSE TO RESTRICTION REQUIREMENT

Commissioner for Patents Washington, D.C. 20231

Sir:

In response to the Office Action mailed October 03, 2002, concerning the Examiner's restriction to the claims, Applicants hereby provisionally elect, with traverse, the claims of Invention I (claims 1-12 and 17-23).

In the restriction, the Examiner asserts that claims 1-25 are drawn to three distinct subjects, grouped as: Invention I (claims 1-12 and 17-23), drawn to a targeting construct comprising a polynucleotide sequence homologous to the sequence encoding protein phosphatase 2C and a selectable marker and the transgenic animal generated with said construct, and method of producing said construct; Invention II (claims 13-15 and 24), drawn to a method of identifying an agent which modulates activity of protein phosphatase 2C in a cell comprising a disruption in a protein phosphatase 2C gene; and Invention III (claims 16 and 25), drawn to an agent which modulates the expression of protein phosphatase 2C.

Specifically, the Examiner asserts that the inventions are distinct, each from the other because Inventions I-III are drawn to different and separate products and methods which are materially different and capable of separate use. The Examiner further asserts that Inventions I-III would require separate searches and consideration. The Applicant respectfully disagrees with the Examiner's assertion in that the claims of Inventions I, II and III are related to one another and a separate search or examination would not unduly burden the Examiner.

Although Applicants have provisionally elected Group I for purposes of advancing prosecution of the present application, Applicants contend, for the foregoing reasons, that the restriction requirement is improper. Accordingly, Applicants respectfully request reconsideration and withdrawal of the requirement.

A Petition for the Extension of Time for response to the Office Action for a period of three months from December 3, 2002 up to and including February 3, 2003 is submitted concurrently herewith.

Respectfully submitted,

Date: 10 brung 3, 200

Aaron T. Hokamura

(Reg. No. 51,810)

DELTAGEN, INC. 740 Bay Road

Redwood City, CA 94063

(650) 569-5100

**Enclosures** 



#### United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMERIONER OF PATENTS AND TRADEMARKS Washington, D.C. 20231 washington of the Commerce of the Commerc

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/900,715	07/06/2001	Keith D. Allen	R-775 3970	
75	90 10/03/2002			
DELTAGEN,			EXAMI	NER
1003 Hamilton Menlo Park, CA			WOITACH, JOSEPH T	
			ART UNIT	PAPER NUMBER
			1632	
	•		DATE MAILED: 10/03/2002	7

Please find below and/or attached an Office communication concerning this application or proceeding.

# **RECEIVED**

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BY:

DUE: NOV. 2, 2002

OIPE			PEC			
FEB 1 0 2003	Application No.	Applicant(s)	~ (K)			
	09/900,715	ALLEN, KEITH D.	HON			
Office Action Summary	Examiner	Art Unit				
	Joseph Woitach	1632	IL SS T			
- The MAILING DATE of this communication app Period for Reply	ears on the cover sheet wi	th the correspondence add	dr ss – 🥰			
A SHORTENED STATUTORY PERIOD FOR REPLY THE MAILING DATE OF THIS COMMUNICATION.  - Extensions of time may be available under the provisions of 37 GFR 1.13 after SIX (6) MONTHS from the malling date of this communication.  - If the period for reply specified above is less than thirty (30) days, a reply If NO period for reply is specified above, the maximum statutory period v  - Fallure to reply within the set or extended period for reply will, by statute.  - Any reply received by the Office later than three months after the mailing earned patent term adjustment. See 37 CFR 1.704(b).	36(a). In no event, however, may a re y within the statutory minimum of thirt will apply and will expire SIX (6) MON , cause the application to become AB	eply be timely filed  (30) days will be considered timely THS from the mailing date of this co ANDONED (35 U.S.C. § 133).	mmunication.			
Status		,	j			
1) Responsive to communication(s) filed on	<del></del>					
, — , — , — , — , — , — , — , — , — , —	is action is non-final.		•			
3) Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under Ex parte Quayle, 1935 C.D. 11, 453 O.G. 213.  Disposition of Claims						
4)⊠ Claim(s) 1-25 is/are pending in the application	•					
4a) Of the above claim(s) is/are withdray						
5) Claim(s) is/are allowed.						
6) Claim(s) is/are rejected.						
7) Claim(s) is/are rejected. 7) Claim(s) is/are objected to.						
8) Claim(s) 1-25 are subject to restriction and/or	election requirement		1			
Application Papers	orden of the state					
9) The specification is objected to by the Examine	г.					
10) The drawing(s) filed on is/are: a) accept	oted or b) objected to by t	ne Examiner.	+			
Applicant may not request that any objection to the	e drawing(s) be held in abeya	ince. See 37 CFR 1.85(a).				
11) ☐ The proposed drawing correction filed on is: a) ☐ approved b) ☐ disapproved by the Examiner.						
If approved, corrected drawings are required in reply to this Office action.						
12)☐ The oath or declaration is objected to by the Ex	aminer.					
Priority under 35 U.S.C. §§ 119 and 120						
13) Acknowledgment is made of a claim for foreign	n priority under 35 U.S.C. §	§ 119(a)-(d) or (f).				
a) ☐ All b) ☐ Some * c) ☐ None of:						
<ol> <li>Certified copies of the priority document</li> </ol>	s have been received.					
<ol><li>Certified copies of the priority document</li></ol>	s have been received in A	pplication No				
Copies of the certified copies of the prior     application from the International Bu     See the attached detailed Office action for a list	reau (PCT Rule 17.2(a)).		Stage			
* See the attached detailed Office action for a list of the certified copies not received.  14)⊠ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. § 119(e) (to a provisional application).						
a)  The translation of the foreign language pro 15)  Acknowledgment is made of a claim for domest	ovisional application has be	een received.				
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Notice of References Cited (PTO-892)     Notice of Draftsperson's Patent Drawing Review (PTO-948)	5) Notice of I	Summary (PTO-413) Paper No( nformal Patent Application (PTO				
3) Information Disclosure Statement(s) (PTO-1449) Paper No(s)	6)  Other:	•				

Applicants Copa

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### DETAILED ACTION

This application filed July 6, 2001, claims benefit to provisional applications 60/216,104, filed July 6, 2000, and 60/223,386, filed August 7, 2000.

Applicants' amendment containing the sequence listing filed December 31, 2001, paper number 4, has been received and entered. The specification has been amended. Claims 1-25 are pending and currently under examination.

### Election/Restriction

Restriction to one of the following inventions is required under 35 U.S.C. 121:

- I. Claims 1-12, 17-23, drawn to a targeting construct comprising a polynucleotide sequence homologous to the sequence encoding protein phosphatase 2C and a selectable marker and the transgenic animal generated with said construct, and method of producing said construct, classified in class 536, subclass 23.1; class 800, subclass 8; class 800, subclass 21; class 800, subclass 3..
- II. Claims 13-15 and 24, drawn to method of identifying an agent which modulates activity of protein phosphatase 2C in a cell comprising a disruption in a phosphatase 2C gene, classified in class 435, subclass 325.

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III. Claims 16 and 25, drawn to an agent which modulates the expression of protein phosphatase 2C, unclassifiable because the nature of the agent is not specifically set forth.

The inventions are distinct, each from the other because of the following reasons:

Inventions I -III are unrelated. Inventions are unrelated if it can be shown that they are not disclosed as capable of use together and they have different modes of operation, different functions, or different effects (MPEP § 806.04, MPEP § 808.01). In the instant case the different inventions are drawn to different and separate products and methods which are materially different and capable of separate use. Specifically, the polynucleotide sequences and the animals can be used for *in vivo* assays to measure a physiological effect on an entire tissue or animal and the cells can be obtained separate from generating an animal and can be used for *in vitro* assays to study specific molecular signaling. The agent encompassed by group III is not clearly defined and could be identified by other methods and could be used in other assays. Each of Groups I-III are drawn to materially different inventions and would require a separate search and consideration.

Because these inventions are distinct for the reasons given above and have acquired a separate status in the art as shown by their different classification, and recognized divergent subject matter, restriction for examination purposes as indicated is proper.

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Applicant is advised that the reply to this requirement to be complete must include an

election of the invention to be examined even though the requirement be traversed (37 CFR

1.143).

Any inquiry concerning this communication or earlier communications from the examiner

should be directed to Joseph Woitach whose telephone number is (703)305-3732.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's

supervisor, Deborah Reynolds, can be reached at (703)305-4051.

Any inquiry of a general nature or relating to the status of this application should be

directed to the Group receptionist Pauline Farrier whose telephone number is (703)305-3550.

Papers related to this application may be submitted by facsimile transmission. Papers

should be faxed via the PTO Fax Center located in Crystal Mall 1. The faxing of such papers

must conform with the notice published in the Official Gazette, 1096 OG 30 (November 15,

1989). The CM1 Fax Center numbers are (703)308-4242 and (703)305-3014.

Joseph T. Woitach

GROUP 1800 /630

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